

FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE
(REV. 2-2005)

ATTORNEY'S DOCKET NUMBER

3220-78751

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

80/553532

INTERNATIONAL APPLICATION NO.
PCT/US2004/014581INTERNATIONAL FILING DATE
11 May 2004 (11.05.04)PRIORITY DATE CLAIMED
12 May 2003 (12.05.03)TITLE OF INVENTION
CYTOTOXIC INDENO AND ISOINDOLOISOQUINOLONESAPPLICANT(S) FOR DO/EO/US
CUSHMAN, Mark S.; POMMIER, Yves G.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). **(2 in counterpart)**
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 23 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☒ A power of attorney and/or change of address letter. **(2 separate)**
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. ☒ Express Mail Label No. **EV 417055171 US**
23. ☒ Other items or information:

a. Copy of Form PCT/IB/306;
c. Certificate Under 37 CFR 1.10;b. Copy of Written Opinion of the International Searching Authority;
d. Statement Under 37 CFR 3.73(b) (two separate)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/553532		INTERNATIONAL APPLICATION NO. PCT/US2004/014581		ATTORNEY'S DOCKET NUMBER 3220-78751	
The following fees are submitted:				CALCULATIONS	PTO USE
24. <input checked="" type="checkbox"/> Basic national fee		\$300	\$	\$300.00	
25. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4).		\$100	\$	\$100.00	
All other situations.		\$200			
26. <input checked="" type="checkbox"/> Search fee					
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority		\$100			
International Search Report prepared and provided to the Office		\$400			
All other situations.		\$500	\$	\$100.00	
TOTAL OF 24, 25 and 26 =			\$	\$500.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
- 100 =	0	/50 =	0	x \$250.00	\$ \$0.00
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	27 - 20 =	7	x \$50.00	\$	\$350.00
Independent claims	2 - 3 =	0	x \$200.00	\$	\$0.00
MULTIPLE DEPENDENT CLAIMS (if applicable) <input type="checkbox"/> + \$360.00				\$	\$0.00
TOTAL OF ABOVE CALCULATIONS =				\$	\$850.00
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	\$0.00
SUBTOTAL =				\$	\$850.00
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	\$0.00
TOTAL NATIONAL FEE =				\$	\$850.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +				\$	\$0.00
TOTAL FEES ENCLOSED =				\$	\$850.00
				Amount to be	\$
				Amount to be	\$

a. ☒ A check in the amount of \$ 850.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.

c. ☒ The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment
to Deposit Account No. 10-0435. A duplicate copy of this sheet is enclosed.

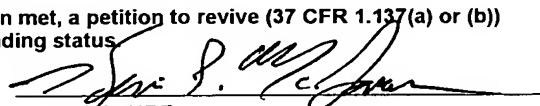
d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card
information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b))
must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

**ADDISON, Bradford G.
BARNES & THORNBURG LLP
11 South Meridian Street
Indianapolis, IN 46204
US**

Customer No. 23643


 SIGNATURE
Kevin L. McLaren, October 14, 2005
 NAME
48351
 REGISTRATION NUMBER

IN THE UNITED STATES PATENT OFFICE

Earliest Priority Date:	12 May 2003 (12.05.03)	}
International Serial No.:	PCT/US2004/014581	}
U.S. Serial No.:	Unknown	}
Invention:	CYTOTOXIC INDENO AND ISOINDOLOISOQUINOLONES	}
Applicant:	CUSHMAN, Mark S.; POMMIER, Yves G,	}
International Filing Date:	11 May 2004 (11.05.04)	}
Attorney Docket:	3220-78751	}


CERTIFICATE UNDER 37 C.F.R. § 1.10

MAIL STOP PCT
Attention: DO/EO/US
Commissioner for Patents
P.O. Box 1450
Alexandria, VA. 22313-1450

Sir:

Express Mail" Mailing Label No.: EV 417055171US
Date of Deposit: 14 October 2005 (14.10.05)

I hereby certify that this paper or fee is being deposited with the United States Postal Service's "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA. 22313-1450.


Mary Jean Eskridge

Indianapolis, Indiana
(317) 231-7303

IN THE UNITED STATES PATENT OFFICE

Earliest Priority Date: 12 May 2003 (12.05.03)

International Serial No.: PCT/US2004/014581

U.S. Serial No.: Unknown

Invention: CYTOTOXIC INDENO AND
ISOINDOLOISOQUINOLONES

Applicant: CUSHMAN, Mark S.; POMMIER,
Yves G,

International Filing Date: 11 May 2004 (11.05.04)

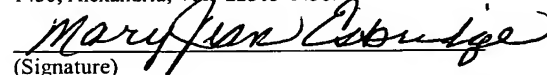
Attorney Docket: 3220-78751

Certificate Under 37 CFR 1.10

Express Mail No.: EV417055171US

Date of Mailing: 14 October 2005

I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


(Signature)

Mary Jean Eskridge

(Typed or Printed Name of Person Mailing Paper or Fee)

SUBMISSION OF FORMAL DRAWINGS

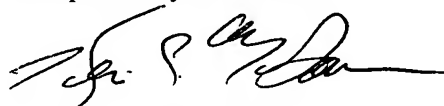
Attention: DO/EO/US
MAIL STOP PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Attached are four (4) sheets of formal drawings for the above-identified national application. These formal drawings, which replace any other drawings previously filed with this application, are the drawings that should be examined and printed with the issued patent.

Please charge any fees that may be due in connection with these drawings to our Deposit Account No. 10-0435, referencing our client/matter number 3220-78751.

Respectfully submitted,



Kevin L. McLAREN
Atty. Reg. No. 48351
Attorney for Applicants

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